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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor Application

Inventors: Michieal L. Jones; Steven M. Jaffe; Brian L. Olmsted
Appl. No.: 09/855,254
Confirm. No.: 8978
Filed: May 15, 2001
Title: WAVEGUIDE BASED LIGHT SOURCE

PATENT APPLICATION

Art Unit: 2874
Examiner: Unknown

Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

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(Attorney Signature)

Sheldon R. Meyer, Reg. No. 27,660
Signature Date: September 14, 2001

TRANSMITTAL LETTER

Commissioner for Patents
Art Unit 2874
Washington, DC 20231

Sir:

Transmitted with this communication in connection with the above-identified application is an Information Disclosure Statement pursuant to 37 C.F.R. §1.56. No fee is required with this communication.

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Respectfully submitted,

Date: September 14, 2001

By: _____
Sheldon R. Meyer
Reg. No. 27,660

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Attorney Docket No.: QVIS-01057US1
srm/qvis/1057/1057us1.11.wpd

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Patent Application

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INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents
Unit 2874
Washington, DC 20231

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 C.F.R. §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- ✓ Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with M.P.E.P. §609.
- ✓ A copy of each cited document as required by 37 C.F.R. §1.98. Copies are not submitted of documents previously submitted by the applicant in a parent application from which benefit under 35 U.S.C. §120 is claimed, 37 C.F.R. §1.98(d)(1), with an information disclosure statement submitted in the parent application which complies with the Sept. 8, 2000 or subsequent revision of 37 C.F.R. §1.98(a-c). If any of the cited/submitted documents is in a foreign language, a concise explanation

of relevance is provided pursuant to 37 C.F.R. §1.98(a)(3)(i). For foreign language documents cited in a search report by a foreign patent office, the requirement for a concise explanation of relevance is satisfied by the submission herewith of an English language version of the search report. MPEP §609A(3). If a written English-language translation of a non-English language document, or portion thereof, is within the possession, custody or control of, or is readily available to any individual designated in §1.56(c), a copy of the translation accompanies this statement, 37 C.F.R. §1.98(a)(3)(ii), and satisfies the requirement for a concise explanation of relevance, MPEP §609A(3).

— **PTA Statement under 37 C.F.R. §704(d).** Each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

This statement should be considered because:

✓ **37 C.F.R. §1.97(b).** This statement qualifies under 37 C.F.R. §1.97, subsection (b) because:

- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under § 1.53(d);
-- OR --
- (2) It is being filed within 3 months of entry of a national stage;
-- OR --
- (3) It is being filed before the mailing date of the first Office Action on the merits,
-- OR --
- (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 C.F.R. §1.114.

✓ **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

FLIESLER DUBB MEYER & LOVEJOY LLP

Date: September 14, 2001

By: _____

Sheldon R. Meyer, Reg. No. 27,660

FLIESLER DUBB MEYER & LOVEJOY LLP
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San Francisco, California 94111-4156
Telephone (415) 362-3800

Form PTO-1449
(Substitute)

**INFORMATION DISCLOSURE STATEMENT
BY APPLICANT**
(Use several sheets if necessary)

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEAttorney Docket Number
QVIS-01057US1Serial/Patent Number
09/855,254Applicant/Patent Owner
Michieal L. Jones, et al.Filing/Issue Date
May 15, 2001Group Art Unit
2874

U.S. PATENTS

Examiner Initial	Patent Number	Issue Date	First Named Inventor	Class	Subclass	Filing Date
	1. 5,469,018	November 21, 1995	Jacobsen et al.	313	461	07/20/1993
	2. 5,616,986	April 1, 1997	Jacobsen et al.	313	461	08/18/1995
	3. 5,804,919	September 8, 1998	Jacobsen et al.	313	506	01/18/1996
	4. 5,955,839	September 21, 1999	Jaffe et al.	313	578	03/26/1997
	5. 6,198,211	March 6, 2001	Jaffe et al.	313	461	05/06/1998

U.S. PATENT PUBLICATIONS

Examiner Initial	Patent Application Publication Number	Publication Date	Applicant

PENDING U.S. PATENT APPLICATIONS

Examiner Initial	Application Number	Filing Date	First Named Inventor	Petition to Expunge? Yes No

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Class	Subclass	Translation Yes No

OTHER DOCUMENTS (Include author (if any), title, publisher and place of publication, date and pertinent pages)

1.	Digital Optics Corporation, product literature, October 19, 1994, 1 page.
2.	Hecht, Jeff, "Diverse fiberoptic systems require varied sources," <i>Laser Focus World</i> , January 2000, pp. 155-161.

Examiner

Date Considered

*EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*1 = Copy not submitted because it was submitted in prior application SN / , filed , 20 , relied on under 35 USC §120.

*2 = Copy not submitted because it was submitted in prior application SN / , filed , 20 , relied on under 35 USC §120.